



Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: John J. Giobbi

For: Digital Rights Management System and Method

BOX PATENT APPLICATION
Assistant Commissioner
for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EK506616315US

Date of Deposit: December 27, 2000

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Type or Print Name: Michael J. Blankstein


Signature

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

- Specification, claims and abstract of the above-referenced patent application (total of 15 pages)
- 3 sheets of formal drawings.
- Declaration (signed).
- Information Disclosure Statement, PTO Form-1449, and References
- Applicant is an individual and is claiming small entity status under 37 CFR 1.27.
- Other (specify): Acknowledgment postcard.

The filing fee has been calculated as shown below:

| | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u> | <u>FEE</u> |
|---|---------------------|------------------|---------------------|---------------------|
| <u>BASIC FEE</u> | | | | <u>\$355</u> |
| <u>TOTAL CLAIMS</u> | <u>25-20</u> | <u>5</u> | <u>\$9</u> | <u>\$45</u> |
| <u>INDEPENDENT CLAIMS</u> | <u>5-3</u> | <u>2</u> | <u>\$40</u> | <u>\$80</u> |
| <u>MULTIPLE DEPENDENT CLAIM(S) PRESENTED</u> | | | <u>\$270</u> | |
| <u>TOTAL FEES:</u> | | | | <u>\$480</u> |
| <u>Deduct One-Half for Small Entity Status</u> | | | | |
| <u>Assignment Recordal Fee \$40</u> | | | | <u>—</u> |
| <u>TOTAL AMOUNT DUE:</u> | | | | <u>\$480</u> |

— Please charge my Deposit Account No. _____ in the amount of \$

X Check(s) in the amount of \$480 is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. _____.

— The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. _____.

— Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

— Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

By: John J. Giobbi
John J. Giobbi



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John J. Giobbi

Serial No.: 09/750,487

Filed: December 27, 2000

For: Digital Rights Management System and
Method

Group Art Unit: 2131

Examiner: Unknown

Atty. Dkt. No.: MD-1

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. § 1.53(b)**

BOX MISSING PART

Commissioner for Patents
Washington, D.C. 20231

Dear Commissioner:

CERTIFICATE OF MAILING
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date below:

9/11/01
Date

Michael Blaustein
Signature

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b), dated 02/20/2001, there are enclosed herewith:

- (a) Our check in the amount of \$480.00 to cover the basic filing fee for a small entity;
- (b) Our check in the amount of \$65.00 to cover the surcharge for a late filing fee for a small entity as set forth in 37 C.F.R. § 1.16(e);
- (c) A petition for an extension of time under 37 C.F.R. § 1.136(a), accompanied by our check in the amount of \$945.00 to cover the extension fee for a reply in the fifth month for a small entity as set forth in 37 C.F.R. § 1.17(a);
- (d) Substitute drawings in compliance with 37 C.F.R. § 1.84;
- (e) A copy of the Notice to File Missing Parts of Application-Filing Date Granted;
- (f) A copy of the Patent Application Transmittal Letter that appears to have been misplaced by the PTO. As noted on Transmittal Letter, Applicant is claiming small entity status under 37 C.F.R. § 1.27 and therefore all fees submitted herewith are for a small entity; and

(g) A copy of the Information Disclosure Statement, PTO Form-1449, and References filed with the application, in the event these documents have been misplaced as well.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Date: September 11, 2001

Michael Blankfort

Michael J. Blankstein
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608/273-4572
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/750,487 | 12/27/2000 | John J. Giobbi | |

09/750,487

12/27/2000

John J. Giobbi

CONFIRMATION NO. 5898

FORMALITIES LETTER



'OC00000005780431'

John J. Giobbi
 1980 Philips
 Northbrook, IL 60062

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/17/2001 BNGUYEN1 00000097 09750487

| | |
|-----------|-----------|
| 02 FC:205 | 65.00 0P |
| 03 FC:201 | 355.00 0P |
| 04 FC:203 | 45.00 0P |
| 05 FC:202 | 80.00 0P |

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$250.
 - \$90 for 5 total claims over 20.
 - \$160 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1090.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

the *Journal of the Royal Society of Medicine* (1980, 73, 101-102) and the *Journal of Clinical Pathology* (1980, 34, 101-102).